

STABILITY OF THE MULTIDIMENSIONAL WAVE EQUATION IN PORT-HAMILTONIAN MODELLING

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ABSTRACT. We investigate the stability of the wave equation with spatial dependent coefficients on a bounded multidimensional domain. The system is stabilized via a scattering passive feedback law. We formulate the wave equation in a port-Hamiltonian fashion and show that the system is semi-uniform stable, which is a stability concept between exponential stability and strong stability. Hence, this also implies strong stability of the system. In particular, classical solutions are uniformly stable. This will be achieved by showing that the spectrum of the port-Hamiltonian operator is contained in the left half plane \mathbb{C}_- and the port-Hamiltonian operator generates a contraction semigroup. Moreover, we show that the spectrum consists of eigenvalues only and the port-Hamiltonian operator has a compact resolvent.

1. INTRODUCTION

In this paper we investigate a stabilizing feedback for the following boundary control system

$$\begin{aligned}
 u(t, \zeta) &= \frac{\partial w}{\partial T\nu}(t, \zeta), & t \geq 0, \zeta \in \Gamma_1, \\
 \frac{\partial^2 w}{\partial t^2}(t, \zeta) &= \frac{1}{\rho(\zeta)} \operatorname{div}(T(\zeta)\nabla w(t, \zeta)), & t \geq 0, \zeta \in \Omega, \\
 w(t, \zeta) &= h(\zeta), & t \geq 0, \zeta \in \Gamma_0, \\
 w(0, \zeta) &= w_0(\zeta), & \zeta \in \Omega, \\
 \frac{\partial w}{\partial t}(0, \zeta) &= w_1(\zeta), & \zeta \in \Omega, \\
 y(t, \zeta) &= \frac{\partial w}{\partial t}(t, \zeta), & t \geq 0, \zeta \in \Gamma_1,
 \end{aligned} \tag{1a}$$

with feedback law

$$u(t, \zeta) = -k(\zeta)y(t, \zeta), \quad t \geq 0, \zeta \in \Gamma_1, \tag{1b}$$

where u and y are the boundary control and observation, respectively and $\Omega \subseteq \mathbb{R}^n$ is bounded domain and connected with Lipschitz boundary $\partial\Omega = \overline{\Gamma_0} \cup \overline{\Gamma_1}$ with $\Gamma_0 \cap \Gamma_1 = \emptyset$, Γ_0 and $\Gamma_1 \neq \emptyset$ are open in the relative topology of $\partial\Omega$ and the boundaries of Γ_0 and Γ_1 have surface measure zero (Γ_0 may be empty). Note,

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that Γ_0 and Γ_1 do not have to be connected. Furthermore, $w(\zeta, t)$ is the deflection at point $\zeta \in \Omega$ and $t \geq 0$, and profile h is given on Γ_0 , where the wave is fixed. Let Young's elasticity modulus $T: \Omega \rightarrow \mathbb{C}^{n \times n}$ be a Lipschitz continuous matrix-valued function such that $T(\zeta)$ is a positive and invertible matrix (a.e.) and $T^{-1} \in L^\infty(\Omega)^{n \times n}$. The vector ν denotes the outward normal at the boundary and $\frac{\partial}{\partial T\nu} w(t, \zeta) = T\nu \cdot \nabla w(t, \zeta) = \nu \cdot T\nabla w(t, \zeta)$ is the conormal derivative. Further, $k: \Gamma_1 \rightarrow \mathbb{R}$ is a positive and bounded function such that also its pointwise inverse $k^{-1} = \frac{1}{k}$ is bounded. Moreover, we have the Lipschitz continuous mass density $\rho: \Omega \rightarrow \mathbb{R}_+$, that satisfies $\rho^{-1} \in L^\infty(\Omega)$. Finally, w_0 and w_1 are the initial conditions.

Stability of (1) has been studied in the literature by several authors, see e.g., [1, 12, 16, 21]. Strong stability has been investigated in [21]. Further, exponential stability of the wave equation with constant T and ρ has been shown in [16] using multiplier methods. For smooth domains, in [1] the equivalence of exponential stability and the so-called *geometric control condition* was shown by methods from micro-local analysis. In [12] this system also appears in port-Hamiltonian formulation, but with constant T and ρ and C^2 boundary. Under these restriction it could be shown that this systems is even exponential stable. However, semi-uniform stability, a notion which is stronger than strong stability and weaker than exponential stability, of the multidimensional wave equation with spatial dependent functions ρ and T on quite general domains has not been studied in the literature.

We aim to show semi-uniform stability of the multidimensional wave equation (1) using a port-Hamiltonian formulation. Semi-uniform stability implies strong stability, and thus we extend the results obtained in [21]. To prove our main result we use the fact that semi-uniform stability is satisfied if the port-Hamiltonian operator generates a contraction semigroup and possesses no spectrum in the closed right half plane. Port-Hamiltonian systems encode the underlying physical principles such as conservation laws directly into the structure of the system structure. For finite-dimensional systems there is by now a well-established theory [22, 6, 5]. The port-Hamiltonian approach has been further extended to the infinite-dimensional situation, see e.g., [23, 17, 14, 29, 27, 13, 15]. In [15] the authors showed that the port-Hamiltonian formulation of the wave equation (1) in n spatial dimensions possess unique mild and classical solutions.

We proceed as follows. In Section 2 we model the multidimensional wave equation as a port-Hamiltonian system with a suitable state space. The main results concerning stability are then obtained in Section 3, where we analyze the spectrum of the differential operator of the port-Hamiltonian formulation. We will see that finding points in the resolvent set is linked to solvability of lossy Helmholtz equations. We will show that our operator has a compact resolvent and its resolvent set contains the imaginary axis. At that point we can apply existing theory to justify semi-uniform stability. Finally, used notations and results on Sobolev spaces and Gårdings inequalities are presented in the Appendix.

2. PORT-HAMILTONIAN FORMULATION OF THE SYSTEM

In order to find a port-Hamiltonian formulation of our system, that is suitable for our purpose, we split the system (1) into a time independent system for the equilibrium and a dynamical system with homogeneous boundary conditions. The time static system for the equilibrium is given by

$$\begin{aligned} \operatorname{div} T(\zeta) \nabla w_e(\zeta) &= 0, & \zeta \in \Omega, \\ w_e(\zeta) &= h(\zeta), & \zeta \in \Gamma_0, \\ \frac{\partial w_e}{\partial T\nu}(\zeta) &= 0, & \zeta \in \Gamma_1, \end{aligned} \tag{2}$$

and a dynamical system with homogeneous Dirichlet boundary conditions on Γ_0 is given by

$$\begin{aligned} \frac{\partial^2 w_d}{\partial t^2}(t, \zeta) &= \frac{1}{\rho(\zeta)} \operatorname{div}(T(\zeta) \nabla w_d(t, \zeta)), & t \geq 0, \zeta \in \Omega, \\ w_d(t, \zeta) &= 0, & t \geq 0, \zeta \in \Gamma_0, \\ w_d(0, \zeta) &= w_0(\zeta) - w_e(\zeta), & \zeta \in \Omega, \\ \frac{\partial w_d}{\partial t}(0, \zeta) &= w_1(\zeta), & \zeta \in \Omega, \\ \frac{\partial w_d}{\partial t}(t, \zeta) &= -k \frac{\partial w_d}{\partial T \nu}(t, \zeta), & t \geq 0, \zeta \in \Gamma_1. \end{aligned} \quad (3)$$

The original system is solved by $w(t, \zeta) = w_e(t, \zeta) + w_d(t, \zeta)$. As in [15] the system in (3) can be described in a port-Hamiltonian manner by choosing the state $x(t, \zeta) = \begin{bmatrix} \rho(\zeta) \frac{\partial}{\partial t} w_d(t, \zeta) \\ \nabla w_d(t, \zeta) \end{bmatrix}$. By using the convention

$$\begin{bmatrix} x_1(t) \\ x_2(t) \end{bmatrix} := x(t) := x(t, \cdot)$$

we can write the system (3) as

$$\begin{aligned} \frac{d}{dt} x(t) &= \begin{bmatrix} 0 & \operatorname{div} \\ \nabla & 0 \end{bmatrix} \begin{bmatrix} \frac{1}{\rho} & 0 \\ 0 & T \end{bmatrix} x(t), \\ x(0) &= \begin{bmatrix} \rho w_1 \\ \nabla(w_0 - w_e) \end{bmatrix}, \\ \gamma_0 \frac{1}{\rho} x_1(t) \Big|_{\Gamma_0} &= 0, \\ \gamma_0 \frac{1}{\rho} x_1(t) \Big|_{\Gamma_1} &= -k \gamma_\nu T x_2(t) \Big|_{\Gamma_1}. \end{aligned}$$

The boundary trace γ_0 and the normal trace γ_ν are explained in the appendix. Kurula and Zwart [15] choose the state space $L^2(\Omega)^{n+1}$ equipped with the energy inner product

$$\langle x, y \rangle := \left\langle x, \begin{bmatrix} \frac{1}{\rho} & 0 \\ 0 & T \end{bmatrix} y \right\rangle_{L^2(\Omega)^{n+1}},$$

which is equivalent to the standard inner product of $L^2(\Omega)^{n+1}$ thanks to the assumptions on T and ρ . They then show the existence of mild and classical solution via semigroup methods. For well-posedness this is a suitable state space, but when it comes to stability this state space is too large as it does not reflect the fact that the second component of the state variable x_2 is of the form ∇v , for some function v in the Sobolev space $H_{\Gamma_0}^1(\Omega)$. For the precise definition of $H_{\Gamma_0}^1(\Omega)$ we refer the reader to the appendix. Thus, we choose the state space $\mathcal{X}_{\mathcal{H}}$ as $L^2(\Omega) \times \nabla H_{\Gamma_0}^1(\Omega)$, instead of $L^2(\Omega)^{n+1}$. Note that $\nabla H_{\Gamma_0}^1(\Omega)$ is closed in $L^2(\Omega)^n$ by Poincaré's inequality. Hence, $\mathcal{X}_{\mathcal{H}}$ is also a Hilbert space with the L^2 -inner product. Nevertheless, we also use the equivalent energy inner product on $\mathcal{X}_{\mathcal{H}}$, that is

$$\langle x, y \rangle_{\mathcal{X}_{\mathcal{H}}} := \left\langle x, \begin{bmatrix} \frac{1}{\rho} & 0 \\ 0 & T \end{bmatrix} y \right\rangle_{L^2(\Omega)^{n+1}}.$$

Furthermore, we define

$$\begin{aligned} \mathfrak{A} &:= \begin{bmatrix} 0 & \operatorname{div} \\ \nabla & 0 \end{bmatrix} \begin{bmatrix} \frac{1}{\rho} & 0 \\ 0 & T \end{bmatrix} \\ \text{with } \operatorname{dom}(\mathfrak{A}) &:= \begin{bmatrix} \frac{1}{\rho} & 0 \\ 0 & T \end{bmatrix}^{-1} (H_{\Gamma_0}^1(\Omega) \times H(\operatorname{div}, \Omega)) \end{aligned}$$

as densely defined operator on $L^2(\Omega)^{n+1}$. The definition of $H(\operatorname{div}, \Omega)$ is given in the appendix. Note that we have already packed the boundary condition $\gamma_0 \frac{1}{\rho} x_1 = 0$

on Γ_0 into the domain of \mathfrak{A} . Moreover, by construction $\text{ran } \mathfrak{A} = \mathcal{X}_{\mathcal{H}}$. Taking the state space and the remaining boundary conditions (feedback) into account gives

$$A := \mathfrak{A}|_{\text{dom}(A)}, \quad (4)$$

$$\text{where } \text{dom}(A) := \left\{ x \in \text{dom}(\mathfrak{A}) \mid \gamma_0 \frac{1}{\rho} x_1 = -k \gamma_\nu T x_2 \text{ on } \Gamma_1 \right\} \cap \mathcal{X}_{\mathcal{H}}$$

as an operator on $\mathcal{X}_{\mathcal{H}}$. Note that $\text{ran } A \subseteq \text{ran } \mathfrak{A} = \mathcal{X}_{\mathcal{H}}$. Therefore the operator A indeed maps into $\mathcal{X}_{\mathcal{H}}$.

The corresponding operator on $L^2(\Omega)^{n+1}$ would be

$$A_0 := \mathfrak{A}|_{\text{dom}(A_0)}, \quad (5)$$

$$\text{where } \text{dom}(A_0) := \left\{ x \in \text{dom}(\mathfrak{A}) \mid \gamma_0 \frac{1}{\rho} x_1 = -k \gamma_\nu T x_2 \text{ on } \Gamma_1 \right\}.$$

By [15], A_0 generates a contraction semigroup on $L^2(\Omega)^{n+1}$ endowed with $\langle x, y \rangle := \left\langle x, \begin{bmatrix} \frac{1}{\rho} & 0 \\ 0 & T \end{bmatrix} y \right\rangle_{L^2}$. Note that this operator allows elements in its domain which do not respect that the second component is a gradient field. This can lead to solutions that are not related to the original problem anymore, as by construction of the state $x(t, \zeta)$ the second component is $\nabla w_d(t, \zeta)$ and therefore a gradient field. Lemma 3.15 shows that this is problematic for stability.

We do not need to rebuild the semigroup theory in [15] for the state space $\mathcal{X}_{\mathcal{H}}$. We will see that A inherits most of the properties of A_0 as $A = A_0|_{\mathcal{X}_{\mathcal{H}}}$.

Lemma 2.1. *Let $(T(t))_{t \geq 0}$ be a strongly continuous semigroup on a Hilbert space X and \tilde{A} its generator. Then every subspace $V \supseteq \text{ran } \tilde{A}$ is invariant under $(T(t))_{t \geq 0}$.*

Moreover, $\tilde{A}|_V$ generates the strongly continuous semigroup

$$(T_V(t))_{t \geq 0} := (T(t)|_V)_{t \geq 0},$$

if V is additionally closed.

Proof. Let $t \geq 0$ and $x \in V$. Then it is well-known that

$$\underbrace{\tilde{A} \int_0^t T(s)x \, ds}_{\in \text{ran } \tilde{A} \subseteq V} = T(t)x - \underbrace{x}_{\in V}.$$

Hence, $T(t)x \in V$, because the left-hand-side is in $\text{ran } \tilde{A} \subseteq V$ and V is a subspace. The remaining assertion follows from [7, ch. II sec. 2.3]. \square

Remark 2.2. If the strongly continuous semigroup $(T(t))_{t \geq 0}$ is even a contraction semigroup, then also $(T_V(t))_{t \geq 0}$ is a contraction semigroup.

Proposition 2.3. *The operator A given by (4) is a generator of contraction semigroup.*

Proof. By [15], A_0 (defined in (5)) is a generator of a contraction semigroup $(T_0(t))_{t \geq 0}$. Because of $\text{ran } A_0 \subseteq \text{ran } \mathfrak{A} = \mathcal{X}_{\mathcal{H}}$ and Lemma 2.1 $A = A_0|_{\mathcal{X}_{\mathcal{H}}}$ generates the contraction semigroup $(T(t))_{t \geq 0} := (T_0(t)|_{\mathcal{X}_{\mathcal{H}}})_{t \geq 0}$. \square

The following lemma is an easy consequence of the integration by parts formula for $\text{div} \cdot \nabla$ from the appendix and will be useful in the next section.

Lemma 2.4. *Let A be given by (4) and $x, y \in \mathcal{X}_{\mathcal{H}}$. Then*

$$\langle Ax, y \rangle_{L^2(\Omega)} + \langle x, Ay \rangle_{L^2(\Omega)} = \langle \gamma_\nu T x_2, \gamma_0 \frac{1}{\rho} y_1 \rangle_{L^2(\Gamma_1)} + \langle \gamma_0 \frac{1}{\rho} x_1, \gamma_\nu T y_2 \rangle_{L^2(\Gamma_1)}.$$

And in particular

$$\text{Re} \langle Ax, x \rangle_{L^2(\Omega)} = \text{Re} \langle \gamma_\nu T x_2, \gamma_0 \frac{1}{\rho} x_1 \rangle_{L^2(\Gamma_1)}.$$

3. STABILITY RESULTS

In this section we prove semi-uniform stability of the multidimensional wave equation (1). We start with the definition of semi-uniform stability and strong stability.

Definition 3.1. We say a strongly continuous semigroup $(T(t))_{t \geq 0}$ on a Hilbert space X is *strongly stable*, if for every $x \in X$

$$\lim_{t \rightarrow \infty} \|T(t)x\|_X = 0.$$

We say a continuous semigroup $(T(t))_{t \geq 0}$ on a Hilbert space X is *semi-uniform stable*, if there exists a continuous monotone decreasing function $f: [0, \infty) \rightarrow [0, \infty)$ with $\lim_{t \rightarrow \infty} f(t) = 0$ and

$$\|T(t)x\|_X \leq f(t)\|x\|_{\text{dom}(A)}$$

for every $x \in \text{dom}(A)$.

Remark 3.2. Note that in [2, sec. 3] semi-uniform stability is defined by $\|T(t)A^{-1}\| \rightarrow 0$, where A is the generator of $(T(t))_{t \geq 0}$. It can be easily seen that this is equivalent to our definition.

Moreover, in [2, sec. 3] it is explained that semi-uniform stability is a concept between exponential stability and strong stability. In particular, semi-uniform stability implies strong stability.

The already mentioned article [2] is an overview article on semi-uniform stability. We remark that this notion is sometimes called differently, e.g., in [25] it is called uniform stability for smooth data (USSD).

In the following we denote by A the operator given by (4) which is associated to the port-Hamiltonian formulation of (1).

Our main result is the following theorem.

Theorem 3.3. *The semigroup generated by A is semi-uniform stable.*

The proof of Theorem 3.3 is given at the end of the section.

Remark 3.4. For the original system (1) strong stability of A translates to: There is a $w_e \in H^1(\Omega)$ such that for every initial value $w_0 \in H^1(\Omega)$, $w_1 \in L^2(\Omega)$ the corresponding solution w satisfies

$$\lim_{t \rightarrow \infty} \|w(t, \cdot) - w_e(\cdot)\|_{H^1(\Omega)} = 0.$$

We will make use of a characterization of semi-uniform stability in [2, Theorem 3.4] to show that A , given by (4), generates a semi-uniform stable semigroup. As A generates a bounded strongly continuous semigroup, by this theorem a sufficient condition for semi-uniform stability is given by $\sigma(A) \cap i\mathbb{R} = \emptyset$. Here $\sigma(A)$ denotes the spectrum of the operator A . Hence, it suggests itself to analyse the spectrum of A or its complement in \mathbb{C} , the resolvent set.

We will show that calculating the resolvent set $\rho(A)$ is related to a lossy Helmholtz problem: Find a function $u: \Omega \rightarrow \mathbb{C}$ that satisfies

$$\begin{aligned} \operatorname{div} T \nabla u - \lambda^2 \rho u &= f \quad \text{in } \Omega, \\ \frac{\partial}{\partial T \nu} u + \lambda k^{-1} u &= g \quad \text{on } \Gamma_1, \end{aligned} \tag{6}$$

where $\lambda \in \mathbb{C} \setminus \{0\}$, $f \in L^2(\Omega)$, $g \in L^2(\Gamma_1)$, and k , ρ and T are the functions from the beginning. A weak formulation of this problem can be derived by taking the

inner product with $v \in H_{\Gamma_0}^1(\Omega)$, apply an integration by parts formula for $\text{div}\text{-}\nabla$ and taking the boundary conditions into account:

$$\begin{aligned} \langle T\nabla u, \nabla v \rangle_{L^2(\Omega)} + \lambda^2 \langle \rho u, v \rangle_{L^2(\Omega)} + \lambda \langle k^{-1} \gamma_0 u, \gamma_0 v \rangle_{L^2(\Gamma_1)} \\ = \langle -f, v \rangle_{L^2(\Omega)} + \langle g, \gamma_0 v \rangle_{L^2(\Gamma_1)}. \end{aligned} \quad (7)$$

We define

$$\begin{aligned} b(u, v) &:= \langle T\nabla u, \nabla v \rangle_{L^2(\Omega)} + \lambda^2 \langle \rho u, v \rangle_{L^2(\Omega)} + \lambda \langle k^{-1} \gamma_0 u, \gamma_0 v \rangle_{L^2(\Gamma_1)} \\ F(v) &:= \langle -f, v \rangle_{L^2(\Omega)} + \langle g, \gamma_0 v \rangle_{L^2(\Gamma_1)}, \end{aligned}$$

so that we can write (7) as

$$b(u, v) = F(v). \quad (8)$$

A *weak solution* of (6) is a function $u \in H_{\Gamma_0}^1(\Omega)$ that satisfies (8) for every $v \in H_{\Gamma_0}^1(\Omega)$.

Lemma 3.5. *Let u be a weak solution of the Helmholtz problem (6). Then $u \in H_{\Gamma_0}^1(\Omega)$, $T\nabla u \in H(\text{div}, \Omega)$ and in particular,*

$$\begin{aligned} \text{div } T\nabla u - \lambda^2 \rho u &= f \quad \text{in } L^2(\Omega), \\ \gamma_\nu T\nabla u + \lambda k^{-1} \gamma_0 u &= g \quad \text{in } L^2(\Gamma_1). \end{aligned}$$

Proof. A weak solution u is by definition in $H_{\Gamma_0}^1(\Omega)$ and satisfies $b(u, v) = F(v)$ for all $v \in H_{\Gamma_0}^1(\Omega)$. If we choose $v \in C_c^\infty(\Omega)$, then all boundary integrals vanish. Hence,

$$\langle T\nabla u, \nabla v \rangle_{L^2(\Omega)} = \langle -f, v \rangle_{L^2(\Omega)} - \lambda^2 \langle \rho u, v \rangle_{L^2(\Omega)},$$

which implies that $T\nabla u \in H(\text{div}, \Omega)$ and $\text{div } T\nabla u = f + \lambda^2 \rho u$. Using this and choosing again $v \in H_{\Gamma_0}^1(\Omega)$ in the weak formulation gives

$$\langle \gamma_\nu T u, \gamma_0 v \rangle_{H^{-1/2}(\Gamma_1), H^{1/2}(\Gamma_1)} + \lambda \langle k^{-1} \gamma_0 u, \gamma_0 v \rangle_{L^2(\Gamma_1)} = \langle g, \gamma_0 v \rangle_{L^2(\Gamma_1)}.$$

Therefore, $\gamma_\nu T u$ has an $L^2(\Gamma_1)$ representative and $\gamma_\nu T u + \lambda k^{-1} \gamma_0 u = g$. \square

Note that for $y = [\frac{y_1}{y_2}] \in \mathcal{X}_{\mathcal{H}}$ there exists a $\phi \in H_{\Gamma_0}^1(\Omega)$ such that $y_2 = \nabla \phi$. This ϕ continuously depends on y_2 by Poincaré's inequality. If $\Gamma_0 = \emptyset$, then we choose $\phi \in H^1(\Omega)/\mathbb{R}$ ($\phi \in H^1(\Omega)$ and $\int_\Omega \phi \, d\lambda = 0$) for uniqueness and continuity.

Lemma 3.6. *Let A be the operator defined in (4). Then $\lambda \in \rho(A) \setminus \{0\}$ is equivalent to: The system*

$$\begin{aligned} \text{div } T\nabla u - \lambda^2 \rho u &= \lambda y_1 + \lambda^2 \rho \phi \quad \text{in } \Omega, \\ \frac{\partial}{\partial T\nu} u + \lambda k^{-1} u &= -\lambda k^{-1} \phi \quad \text{on } \Gamma_1, \end{aligned} \quad (9)$$

is weakly solvable for every $y = [\frac{y_1}{y_2}] \in \mathcal{X}_{\mathcal{H}}$, where ϕ is defined by $\nabla \phi = y_2$ as described above.

Proof. For $\lambda \in \rho(A) \setminus \{0\}$ and $y \in \mathcal{X}_{\mathcal{H}}$ there exists an $x \in \text{dom}(A)$ such that $(A - \lambda)x = y$. Hence,

$$\begin{aligned} \text{div } T x_2 - \lambda x_1 &= y_1 \\ \nabla \frac{1}{\rho} x_1 - \lambda x_2 &= \nabla \phi \quad \Rightarrow \quad x_2 = \frac{1}{\lambda} \nabla \left(\frac{1}{\rho} x_1 - \phi \right). \end{aligned}$$

Substituting x_2 in the first equation, multiplying by λ and adding $\lambda^2 \rho \phi$ on both sides yields

$$\text{div } T \nabla \left(\frac{1}{\rho} x_1 - \phi \right) - \lambda^2 \rho \left(\frac{1}{\rho} x_1 - \phi \right) = \lambda y_1 + \lambda^2 \rho \phi.$$

Since $x \in \text{dom}(A)$ we have $k \gamma_\nu T x_2 + \gamma_0 \frac{1}{\rho} x_1 = 0$ which becomes

$$\gamma_\nu T \nabla \left(\frac{1}{\rho} x_1 - \phi \right) + \lambda k^{-1} \gamma_0 \left(\frac{1}{\rho} x_1 - \phi \right) = -\lambda k^{-1} \gamma_0 \phi.$$

Hence, $u := (\frac{1}{\rho}x_1 - \phi)$ is a weak solution of the system (9). On the other hand if u is a weak solution of (9), then $x := \left[\frac{\rho(u+\phi)}{\frac{1}{\lambda}\nabla u} \right] \in \text{dom}(A)$ and $(A - \lambda)x = y$ by Lemma 3.5. \square

Theorem 3.7. *For every $\lambda \in i\mathbb{R} \setminus \{0\}$ the system (9) is weakly solvable.*

Proof. We set $\lambda = i\eta$, where $\eta \in \mathbb{R} \setminus \{0\}$.

Note that by

$$\text{Re } b(u, u) = \|T^{1/2}\nabla u\|_{L^2(\Omega)}^2 - \eta^2 \|\rho^{1/2}u\|_{L^2(\Omega)}^2,$$

$b(\cdot, \cdot)$ satisfies a Gårding inequality (see Definition C.1).

By Gårding's inequality it is sufficient to show that $b(\cdot, \cdot)$ is a non-degenerated sesquilinear form, (see e.g. Theorem C.2). Suppose there is a $u \in H_{\Gamma_0}^1(\Omega)$ such that $b(u, v) = 0$ for all $v \in H_{\Gamma_0}^1(\Omega)$. Then $b(u, u) = 0$ and by separating the imaginary part we have

$$i\eta \langle k^{-1}\gamma_0 u, \gamma_0 u \rangle_{L^2(\Gamma_1)} = 0.$$

Hence, $u \in H_0^1(\Omega)$. Moreover, u is a weak solution of the corresponding system to $b(u, v) = \tilde{F}(v)$, where $\tilde{F}(v) := 0$. By Lemma 3.5 for $\lambda = i\eta$, $\text{div } T\nabla u + \eta^2 \rho u = 0$ in $L^2(\Omega)$ and $\gamma_\nu \nabla T u = 0$ in $L^2(\Gamma_1)$. Summed up u satisfies

$$\begin{aligned} \text{div } T\nabla u + \eta^2 \rho u &= 0, \\ \gamma_0 u &= 0, \\ \gamma_\nu T\nabla u|_{\Gamma_1} &= 0. \end{aligned}$$

By the unique continuation principle (see e.g. [26, Theorem 1.7, Remark 1.8]), u has to be 0 and consequently $b(\cdot, \cdot)$ is non-degenerated. \square

Remark 3.8. The system (9) is also solvable for $\lambda \in \mathbb{C}_+$, but we already knew from the dissipativity of A that $\mathbb{C}_+ \subseteq \rho(A)$.

Corollary 3.9. $i\mathbb{R} \setminus \{0\} \cup \mathbb{C}_+ \subseteq \rho(A)$.

Proof. This is a direct consequence of Lemma 3.6 and Theorem 3.7. \square

Lemma 3.10. *If $\lambda \in i\mathbb{R}$ is an eigenvalue of A , then a corresponding eigenvector x satisfies $\gamma_\nu T x_2|_{\Gamma_1} = \gamma_0 \frac{1}{\rho} x_1|_{\Gamma_1} = 0$.*

Proof. By Lemma 2.4 we have

$$\begin{aligned} \text{Re} \langle (A - \lambda)x, x \rangle_{L^2(\Omega)} &= \text{Re} \langle Ax, x \rangle_{L^2(\Omega)} - \text{Re} \lambda \langle x, x \rangle_{L^2(\Omega)} \\ &= \text{Re} \langle \gamma_\nu T x_2, \gamma_0 \frac{1}{\rho} x_1 \rangle_{L^2(\Gamma_1)} - \text{Re} \lambda \|x\|_{L^2(\Omega)}^2 \\ &= -k \text{Re} \langle \gamma_0 \frac{1}{\rho} x_1, \gamma_0 \frac{1}{\rho} x_1 \rangle_{L^2(\Gamma_1)} - \text{Re} \lambda \|x\|_{L^2(\Omega)}^2 \\ &= -k \|\gamma_0 \frac{1}{\rho} x_1\|_{L^2(\Gamma_1)}^2 - \text{Re} \lambda \|x\|_{L^2(\Omega)}^2. \end{aligned}$$

If x is an eigenvector of $\lambda \in i\mathbb{R}$, then this equation becomes

$$0 = -k \|\gamma_0 \frac{1}{\rho} x_1\|_{L^2(\Gamma_1)}^2,$$

which also gives $\gamma_\nu T x_2|_{\Gamma_1} = 0$ by the boundary conditions. \square

Lemma 3.11. *Let $A: \text{dom}(A) \subseteq \mathcal{X}_{\mathcal{H}} \rightarrow \mathcal{X}_{\mathcal{H}}$ be the operator from the beginning. Then 0 is not an eigenvalue of A .*

Proof. Let us assume 0 that is an eigenvalue of A and x be an eigenvector. Then $\operatorname{div} Tx_2 = 0$ and $\nabla_{\rho}^{\frac{1}{2}} x_1 = 0$ and by Lemma 3.10 x satisfies $\gamma_{\nu} Tx_2|_{\Gamma_1} = 0 = \gamma_0 \frac{1}{\rho} x_1|_{\Gamma_1}$. Hence, for arbitrary $f \in H_{\Gamma_0}^1(\Omega)$ we have

$$0 = \langle \operatorname{div} Tx_2, f \rangle_{L^2} = -\langle Tx_2, \nabla f \rangle_{L^2},$$

which implies $Tx_2 \perp \nabla H_{\Gamma_0}^1(\Omega)$. Since by assumption $Tx_2 \in \nabla H_{\Gamma_0}^1(\Omega)$ we conclude $x_2 = 0$. Finally, $x_1 = 0$ by Poincaré's inequality. Therefore, 0 cannot not be an eigenvalue. \square

Note that the assumption $\Gamma_1 \neq \emptyset$ is used in the next theorem.

Theorem 3.12. *Let*

$$X := \nabla H_{\Gamma_0}^1(\Omega) \cap \{f \in H(\operatorname{div}, \Omega) \mid \gamma_{\nu} f|_{\Gamma_1} \in L^2(\Gamma_1)\}$$

$$\text{with } \|f\|_X := \sqrt{\|f\|_{L^2(\Omega)^n}^2 + \|\operatorname{div} f\|_{L^2(\Omega)}^2 + \|\gamma_{\nu} f\|_{L^2(\Gamma_1)}^2}.$$

Then X can be compactly embedded into $L^2(\Omega)^n$.

Proof. Let $(f_n)_{n \in \mathbb{N}}$ be a bounded sequence in X , i.e., $\sup_{n \in \mathbb{N}} \|f_n\|_X \leq K \in \mathbb{R}$. By assumption there exists a $\phi_n \in H_{\Gamma_0}^1(\Omega)$ such that $f_n = \nabla \phi_n$ for every $n \in \mathbb{N}$. By Poincaré's inequality we have

$$\|\phi_n\|_{H^1(\Omega)} \leq C \|\nabla \phi_n\|_{L^2(\Omega)} \leq C \|f_n\|_X.$$

Hence, $(\phi_n)_{n \in \mathbb{N}}$ is a bounded sequence in $H^1(\Omega)$. Moreover, $(\gamma_0 \phi_n)_{n \in \mathbb{N}}$ is a bounded sequence in $H^{1/2}(\partial\Omega)$. By the compact embedding of $H^1(\Omega)$ into $L^2(\Omega)$ and $H^{1/2}(\partial\Omega)$ into $L^2(\partial\Omega)$, there exists a subsequence $(\phi_{n(k)})_{k \in \mathbb{N}}$ that converges in $L^2(\Omega)$ such that also $(\gamma_0 \phi_{n(k)})_{k \in \mathbb{N}}$ converges in $L^2(\partial\Omega)$. W.l.o.g. we assume that this is already true for the original sequence. By

$$\begin{aligned} & \|f_n - f_m\|_{L^2(\Omega)}^2 \\ &= \langle f_n - f_m, \nabla(\phi_n - \phi_m) \rangle_{L^2(\Omega)} \\ &= -\langle \operatorname{div}(f_n - f_m), \phi_n - \phi_m \rangle_{L^2(\Omega)} + \underbrace{\langle \gamma_{\nu}(f_n - f_m), \gamma_0(\phi_n - \phi_m) \rangle_{L^2(\partial\Omega)}}_{\langle \gamma_{\nu}(f_n - f_m), \gamma_0(\phi_n - \phi_m) \rangle_{L^2(\Gamma_1)}} \\ &\leq 2K \|\phi_n - \phi_m\|_{L^2(\Omega)} + 2K \|\gamma_0 \phi_n - \gamma_0 \phi_m\|_{L^2(\Gamma_1)} \\ &\rightarrow 0, \end{aligned}$$

we have that $(f_n)_{n \in \mathbb{N}}$ is a Cauchy sequence in $L^2(\Omega)^n$ and therefore convergent. \square

Theorem 3.13. *dom(A) can be compactly embedded into $\mathcal{X}_{\mathcal{H}}$.*

Proof. Note that $\operatorname{dom}(A) \subseteq \mathcal{X}_{\mathcal{H}}$ and that $\|\cdot\|_{\mathcal{X}_{\mathcal{H}}}$ is equivalent to $\|\cdot\|_{L^2(\Omega)^{n+1}}$. We regard $\operatorname{dom}(A)$ with $\langle x, y \rangle_A = \langle x, y \rangle_{\mathcal{X}_{\mathcal{H}}} + \langle Ax, Ay \rangle_{\mathcal{X}_{\mathcal{H}}}$ as inner product. Note that $\operatorname{dom}(A)$ is a Hilbert space with the previous inner product. The induced norm can be written as

$$\|x\|_A = \sqrt{\|x\|_{\mathcal{X}_{\mathcal{H}}}^2 + \|T \nabla_{\rho}^{\frac{1}{2}} x_1\|_{L^2}^2 + \|\frac{1}{\rho} \operatorname{div} Tx_2\|_{L^2}^2}.$$

Note that $\|\gamma_{\nu} Tx_2\|_{L^2(\Gamma_1)}$ is automatically bounded by $C \|x\|_A$ for some $C > 0$, since $\|\gamma_0 \frac{1}{\rho} x_1\|_{H^{1/2}(\partial\Omega)}$ is bounded by $C \|x\|_A$ for some $C > 0$ and $\gamma_{\nu} Tx_2|_{\Gamma_1} = \frac{-1}{k} \gamma_0 \frac{1}{\rho} x_1|_{\Gamma_1}$. Let X be the space from Theorem 3.12. Then

$$\Phi: \begin{cases} \operatorname{dom}(A) & \rightarrow H_{\Gamma_0}^1(\Omega) \times X, \\ x & \mapsto \begin{bmatrix} \frac{1}{\rho} & 0 \\ 0 & T \end{bmatrix} x, \end{cases}$$

is continuous. Moreover, both $H_{\Gamma_0}^1(\Omega)$ and X can be compactly embedded into $L^2(\Omega)$ and $L^2(\Omega)^n$, respectively. We denote this combined embedding by

$\iota: H_{\Gamma_0}^1(\Omega) \times X \rightarrow L^2(\Omega)^{n+1}$. Hence, also $\text{dom}(A)$ can be compactly embedded into $\mathcal{X}_{\mathcal{H}}$ by $\Phi^{-1}\iota\Phi$. \square

Corollary 3.14. *The resolvent operators of A are compact, the spectrum of A contains only eigenvalues and $i\mathbb{R} \cup \mathbb{C}_+ \subseteq \rho(A)$.*

Proof. By Theorem 3.13, $\text{dom}(A)$ can be compactly embedded into $\mathcal{X}_{\mathcal{H}}$, which implies that every resolvent operator is compact. Hence, the spectrum of A contains only eigenvalues. Since 0 is not an eigenvalue by Lemma 3.11, we conclude that $0 \in \rho(A)$. Moreover, by Corollary 3.9 also every other point on $i\mathbb{R}$ is in $\rho(A)$. \square

Finally we will prove Theorem 3.3.

Proof of Theorem 3.3. By Corollary 3.14 we have $\sigma(A) \cap i\mathbb{R} = \emptyset$. Therefore, as announced in the beginning, [2, Theorem 3.4] implies the semi-uniform stability of the semigroup generated by A . \square

We conclude this section with an investigation of the strong stability of the operator A_0 given by (5), which is an extension of A and generates a strongly continuous semigroup on $L^2(\Omega)^{n+1}$.

Lemma 3.15. *Let $\Omega \subseteq \mathbb{R}^n$ be bounded and open with Lipschitz boundary, $n \geq 2$. Then the operator A_0 (defined in (5)) has $\lambda = 0$ as an eigenvalue and thus, does not generate a strongly stable semigroup.*

Proof. Choose the components of $x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$ as

$$x_1 = 0 \quad \text{and} \quad x_2 = T^{-1} \begin{bmatrix} \partial_2 \phi \\ -\partial_1 \phi \\ 0 \\ \vdots \\ 0 \end{bmatrix},$$

where ϕ is any non zero $C_c^\infty(\Omega)$ function. Then $x_2 \neq 0$ and $\text{div} Tx_2 = \partial_1 \partial_2 \phi - \partial_2 \partial_1 \phi = 0$. Since ϕ has compact support, x satisfies the boundary conditions. Thus A_0 cannot generate a strongly stable semigroup, since the eigenvector x to $\lambda = 0$ is a constant solution of the Cauchy problem. \square

4. CONCLUSION

In this paper we showed semi-uniform stability of the multidimensional wave equation equipped with a scattering passive feedback law. Further, we proved the corresponding port-Hamiltonian operator has a compact resolvent.

To get compact embeddings for the port-Hamiltonian operator of the wave equation it is necessary to choose an adequate state space. This is a new aspect that arises for spatial multidimensional port-Hamiltonian systems as in the one-dimensional spatial setting the compact embedding is always given. It is likely that most of the techniques presented in this article will translate for general linear port-Hamiltonian systems on multidimensional spatial domains (see [24]) like Maxwell's equations and the Mindlin plate model. Probably the crucial tool will be a unique continuation principle.

Moreover, there is an interesting link between the resolvent set of the port-Hamiltonian operator of the wave equation and solvability of lossy Helmholtz equations. Since in the theory of Helmholtz equations (especially in view of finite element methods) a uniform bound of the solution operator is of interest, it might be possible to use results from that theory to give explicit decay rates for the semi-uniform stability or even obtain exponential stability under certain assumptions. For constant coefficients we can find such estimates in [18, 19, 9]. There are some recent works on these estimates with non constant coefficients [10, 11].

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APPENDIX A. SOBOLEV SPACES

We want to recall the most important relations between certain Sobolev spaces and boundary operators for our purpose. Details can be found in [20], [3], [4, ch. IX] or in the appendix of [15]. Let $\Omega \subseteq \mathbb{R}^n$ be open with bounded Lipschitz boundary. We define

$$\begin{aligned} \mathbf{H}^1(\Omega) &:= \{x \in L^2(\Omega) \mid \nabla x \in L^2(\Omega)^n\}, \\ \mathbf{H}(\operatorname{div}, \Omega) &:= \{x \in L^2(\Omega)^n \mid \operatorname{div} x \in L^2(\Omega)\}, \end{aligned}$$

where $\operatorname{div} x$ and ∇x are defined in a distributional sense. Here $\mathbf{H}^1(\Omega)$ is equipped with the graph norm of ∇ and $\mathbf{H}(\operatorname{div}, \Omega)$ is equipped with the graph norm of div . By $C_c^\infty(\Omega)$ we denote the space of functions on Ω that are infinitely differentiable with compact support. The restriction mapping $x \mapsto x|_{\partial\Omega}$ is defined for continuous functions on $\bar{\Omega}$. There exists a continuous extension of this mapping from $\mathbf{H}^1(\Omega)$ to $L^2(\partial\Omega)$. We define $\mathbf{H}^{1/2}(\partial\Omega)$ as the image of this mapping equipped with the range norm (and range inner product). Corresponding we define its (anti)dual space $\mathbf{H}^{-1/2}(\partial\Omega)$. For $x, y \in C_c^\infty(\Omega)$ we have a integration by parts formula:

$$\langle \operatorname{div} x, y \rangle_{L^2(\Omega)} + \langle x, \nabla y \rangle_{L^2(\Omega)} = \langle \nu \cdot x|_{\partial\Omega}, y|_{\partial\Omega} \rangle_{L^2(\partial\Omega)}.$$

This can be continuously extended to $x \in \mathbf{H}(\operatorname{div}, \Omega)$ and $y \in \mathbf{H}^1(\Omega)$, if we replace the $L^2(\partial\Omega)$ inner product by a dual pairing:

$$\langle \operatorname{div} x, y \rangle_{L^2(\Omega)} + \langle x, \nabla y \rangle_{L^2(\Omega)} = \langle \gamma_\nu x, \gamma_0 y \rangle_{\mathbf{H}^{-1/2}(\partial\Omega), \mathbf{H}^{1/2}(\partial\Omega)},$$

where $\gamma_0: \mathbf{H}^1(\Omega) \rightarrow \mathbf{H}^{1/2}(\partial\Omega)$ is the boundary trace (extension of $y \mapsto y|_{\partial\Omega}$) and $\gamma_\nu: \mathbf{H}(\operatorname{div}, \Omega) \rightarrow \mathbf{H}^{-1/2}(\partial\Omega)$ is the extension of $\nu \cdot x|_{\partial\Omega}$. Furthermore,

$$\mathbf{H}_{\Gamma_0}^1(\Omega) := \left\{ x \in \mathbf{H}^1(\Omega) \mid (\gamma_0 x)|_{\Gamma_0} = 0 \text{ in } L^2(\partial\Omega) \right\}$$

and $\mathbf{H}^{1/2}(\Gamma_1)$ is defined as $\operatorname{ran} \gamma_0|_{\mathbf{H}_{\Gamma_0}^1(\Omega)}$ endowed with inner product from $\mathbf{H}^{1/2}(\partial\Omega)$ (for $g \in \mathbf{H}^{1/2}(\Gamma_1)$ we can say that $g|_{\Gamma_0} = 0$). We denote its (anti)dual space by $\mathbf{H}^{-1/2}(\Gamma_1)$. Then there is the following integration by parts formula for $x \in \mathbf{H}(\operatorname{div}, \Omega)$ and $y \in \mathbf{H}_{\Gamma_0}^1(\Omega)$

$$\langle \operatorname{div} x, y \rangle_{L^2(\Omega)} + \langle x, \nabla y \rangle_{L^2(\Omega)} = \langle \gamma_\nu x, \gamma_0 y \rangle_{\mathbf{H}^{-1/2}(\Gamma_1), \mathbf{H}^{1/2}(\Gamma_1)}.$$

We say $\gamma_\nu x$ is in $L^2(\Gamma_1)$, if there is an $f \in L^2(\Gamma_1)$ such that

$$\langle \gamma_\nu x, \gamma_0 y \rangle_{\mathbf{H}^{-1/2}(\Gamma_1), \mathbf{H}^{1/2}(\Gamma_1)} = \langle f, \gamma_0 y \rangle_{L^2(\Gamma_1)}$$

for all $y \in \mathbf{H}_{\Gamma_0}^1(\Omega)$. Clearly in this case we say $\gamma_\nu x = f$ and we can write the integration by parts formula as

$$\langle \operatorname{div} x, y \rangle_{L^2(\Omega)} + \langle x, \nabla y \rangle_{L^2(\Omega)} = \langle \gamma_\nu x, \gamma_0 y \rangle_{L^2(\Gamma_1)}.$$

APPENDIX B. SOLUTIONS

In this section we will discuss a suitable solution concept for (1). We will regard a solution $w(\cdot, \cdot)$ as a function in time mapping into spatial function space.

An integrated version of the PDE is

$$\rho(\zeta) \frac{\partial}{\partial t} w(t, \zeta) - \rho(\zeta) w_1(\zeta) = \int_0^t \operatorname{div} T(\zeta) \nabla w(s, \zeta) \, ds.$$

We will demand that a solution will satisfy this integrated version of the PDE.

If we assume that both $\int_0^t \operatorname{div} T(\zeta) \nabla w(s, \zeta) \, ds$ and $\operatorname{div} T(\zeta) \int_0^t \nabla w(s, \zeta) \, ds$ exist, then they coincide and

$$\rho(\zeta) \frac{\partial}{\partial t} w(t, \zeta) - \rho(\zeta) w_1(\zeta) = \operatorname{div} T(\zeta) \int_0^t \nabla w(s, \zeta) \, ds.$$

This is a consequence of the closedness of div . For a classical solution ($w \in C^2(\mathbb{R}_+ \times \Omega) \cap C^1(\mathbb{R}_+ \times \bar{\Omega})$) these integrals coincide.

We will also regard an integrated version of the boundary conditions:

$$\int_0^t \frac{d}{ds} w(s, \zeta) \, ds = -k \int_0^t \nu \cdot T \nabla w(s, \zeta) \, ds$$

for all $\zeta \in \Gamma_1$. Again for classical solutions this can be manipulated to

$$w(t, \zeta) - w(0, \zeta) = -k \nu \cdot T \int_0^t \nabla w(s, \zeta) \, ds \quad \text{for all } \zeta \in \Gamma_1,$$

$$\gamma_0 w(t, \cdot)|_{\Gamma_1} - \gamma_0 w(0, \cdot)|_{\Gamma_1} = -k \gamma_\nu \left(T \int_0^t \nabla w(s, \cdot) \, ds \right) \Big|_{\Gamma_1}.$$

Definition B.1. Let $w_0 \in H^1(\Omega)$ and $w_1 \in L^2(\Omega)$. Then we say that $w(\cdot, \cdot)$ is a *solution* of (1), if $t \mapsto w(t, \cdot)$ is $C^1(\mathbb{R}_+; L^2(\Omega)) \cap C^0(\mathbb{R}_+; H^1(\Omega))$, and

$$\rho \frac{d}{dt} w(t, \cdot) - \rho w_1 = \operatorname{div} T \int_0^t \nabla w(s, \cdot) \, ds,$$

$$w(0, \cdot) = w_0,$$

$$\frac{d}{dt} w(t, \cdot) \Big|_{t=0} = w_1,$$

$$\gamma_0 w(t, \cdot) \Big|_{\Gamma_0} = h,$$

$$\gamma_0 w(t, \cdot) \Big|_{\Gamma_1} - \gamma_0 w_0 \Big|_{\Gamma_1} = -k \gamma_\nu \left(T \int_0^t \nabla w(s, \cdot) \, ds \right) \Big|_{\Gamma_1},$$

for all $t \in \mathbb{R}_+$.

Proposition B.2. Let w is a solution of (1) in the sense of Definition B.1 and w_e the solution of the equilibrium system (2). Then

$$\begin{bmatrix} \rho \frac{\partial}{\partial t} w(t, \cdot) \\ \nabla w(t, \cdot) - \nabla w_e \end{bmatrix} \quad \text{and} \quad T(t) \begin{bmatrix} \rho w_1 \\ \nabla w_0 - \nabla w_e \end{bmatrix}$$

coincide, where T is the semigroup generated by A .

On the other hand, let x_1 denote the first component of the solution given by the semigroup. Then

$$w(t, \cdot) := \int_0^t \frac{1}{\rho} x_1(s) \, ds + w_0 + w_e$$

is a solution of (1) in the sense of Definition B.1.

Remark B.3. If we regard the semigroup T_0 generated by A_0 , we can even cancel out ∇w_e and obtain

$$\begin{bmatrix} \rho \frac{\partial}{\partial t} w(t, \cdot) \\ \nabla w(t, \cdot) \end{bmatrix} = T_0(t) \begin{bmatrix} \rho w_1 \\ \nabla w_0 \end{bmatrix}$$

Theorem B.4. *The system (2) is solvable for $h \in H^{1/2}(\Gamma_0)$.*

Proof. Let $H \in H^1(\Omega)$ such that $h = \gamma_0 H|_{\Gamma_0}$. The weak formulation of (2) is: find a $\tilde{w} \in H_{\Gamma_0}^1(\Omega)$ such that

$$\langle \nabla \tilde{w}, \nabla v \rangle_{L^2(\Omega)} = -\langle \nabla H, \nabla v \rangle_{L^2(\Omega)}$$

for all $v \in H_{\Gamma_0}^1(\Omega)$. Then $w_e = \tilde{w} + H$. By the Lax-Milgram theorem this is solvable. \square

APPENDIX C. GÄRDING INEQUALITIES

In this section we want to show that there is a Fredholm alternative for sesquilinear forms that are non-coercive, but satisfy a *Gårding inequality*. In [28] this concept is presented in a less abstract way for differential operators.

Definition C.1. Let X_0 and X_1 be Hilbert spaces and $K: X_1 \rightarrow X_0$ be a compact linear operator. A sesquilinear form $b: X_1 \times X_1 \rightarrow \mathbb{C}$ satisfies a *Gårding inequality*, if

$$\operatorname{Re} b(u, u) \geq C_1 \|u\|_{X_1}^2 - C_2 \|Ku\|_{X_0}^2 \quad \text{for all } u \in X_1.$$

In most applications K is a compact embedding, e.g. the embedding of $H^1(\Omega)$ into $L^2(\Omega)$. Note that (by Lax-Milgram, e.g. [8]) for every bounded sesquilinear form $b(\cdot, \cdot)$ on a Hilbert space there exists a bounded operator $B: X_1 \rightarrow X_1$ such that

$$b(u, v) = \langle Bu, v \rangle_{X_1} \quad \text{for all } u, v \in X_1.$$

The operator B is injective if and only if $b(\cdot, \cdot)$ is non-degenerated.

Theorem C.2 (Fredholm alternative). *Let $b(\cdot, \cdot)$ be a bounded sesquilinear form on X_1 that satisfies a Gårding inequality. If the corresponding operator B is injective ($b(\cdot, \cdot)$ is non-degenerated), then B is bijective.*

Proof. The sesquilinear form b satisfies the Gårding inequality

$$\operatorname{Re} b(u, u) \geq C_1 \|u\|_{X_1}^2 - C_2 \|Ku\|_{X_0}^2 \quad \text{for all } u \in X_1.$$

Hence, $\tilde{b}(u, v) := b(u, v) + C_2 \langle Ku, Kv \rangle_{X_0}$ is coercive. The corresponding operator \tilde{B} is given by $B + C_2 K^* K$. By the Lax-Milgram theorem \tilde{B} is bijective. Note that

$$B = \tilde{B} - C_2 K^* K = \tilde{B}(\mathbf{I} - \tilde{B}^{-1} C_2 K^* K).$$

The injectivity of B implies that 1 is not an eigenvalue of $\tilde{B}^{-1} C_2 K^* K$ and since $\tilde{B}^{-1} C_2 K^* K$ is compact, it is surjective. Consequently B is also surjective. \square

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